

**30 NCAC 10D .0405 MIXED COMPLAINT DETERMINATIONS**

(a) In a mixed complaint, within 10 business days after determination by the Commission of the Article 1, 3, 5, and 7 portions of the complaint, Commission staff shall:

- (1) provide the Secretary of State's Lobbying Compliance Division with:
  - (A) written notice of the Commission's final disposition, and
  - (B) access to, and, if requested, temporary possession and custody of, all investigative materials in mixed complaint investigations, excluding attorney work-product and items subject to the attorney-client privilege under current law;
- (2) notify the respondent of a referral and their duty to retain any potentially relevant information, including documents, correspondence, notes, electronic communications, electronically stored information, and emails; and
- (3) notify the complainant of a referral and direct them to retain any potentially relevant information, including documents, correspondence, notes, electronic communications, electronically stored information, and emails.

(b) Any Commission determinations provided to the respondent to facilitate settlement discussions shall redact information regarding Article 2, 4, or 8 to the extent possible under the circumstances.

*History Note:* Authority G.S. 120C-101(a); 120C-600; 120C-601;  
Eff. June 1, 2014.